UNIVERSITY OF MAINE SYSTEM
Board of Trustees Meeting
at the University of Maine at Augusta
March 18, 2013

Board of Trustees Meeting


Trustee Hood, Chair, called the meeting to order and welcomed everyone.

EXECUTIVE SESSION
On a motion by Trustee Fournier, which was seconded by Trustee Baker, the Board of Trustees meeting went into Executive Session under the following provisions:

- 1 MRSA Section 405 6-A to discuss Honorary Degrees; Tenure Nominations for 2013; and the evaluation of personnel and the consideration and discussion of appointments, employment, and duties and
- 1 MRSA Section 405 6-D to discuss continuing negotiations and arbitration with AFUM; unfair labor practices, and preparation for upcoming labor contracts and proposals with AFUM, UMPSA, COLT, Police, PATFA, and Service and Maintenance Units.

On a motion by Trustee Fournier, which was seconded by Trustee Collins, the Board of Trustees concluded the Executive Session.

CITIZEN COMMENT
Citizen Comment is a time regularly set aside for comments from the public. The following individuals spoke during the Citizen Comment period:

- Ann Blanke - Welcome to UMA and shared some of the good work of the faculty
- Ron Mosley - Faculty moral and background screen policy
- Ross Ferrell – Flexible, alternative education for adults; campus budgets; and collective bargaining

ACCEPTANCE OF MINUTES
On a motion by Trustee Hadyniak, which was seconded by Trustee Fournier, the minutes from the following meetings were unanimously approved as presented:

- January 28, 2013 – Board of Trustees Meeting and Committee Meetings
- February 27, 2013 – Investment Committee Meeting
- February 28, 2013 – Human Resources and Labor Relations Committee Meeting
- March 7, 2013 – Finance/Facilities Committee Meeting
CHAIR’S REPORT
Trustee Hood explained that the Board will begin the annual evaluation of the Chancellor according to Board Policy 303.1. Every three years there is a comprehensive review of the Chancellor’s performance by an outside consultant. On the alternative years, which includes this year, the Board conducts the review with the Chancellor submitting a self-assessment. The Board will be asked to provide input and will take action on the extension of the Chancellor’s contract at the May Board meeting.

Trustee Hood stated that in the near future, according to the Board of Trustees Bylaw Article 111, Section 3.2, she will be appointing three Trustees to serve on the Trustee Nominating Committee. The Nominating Committee will bring forward nominations for Chair and Vice Chair of the Board for a one year term. The Board will be electing these officers at the May Board meeting. She indicated that she will not be submitting her candidacy as Chair of the Board.

Trustee Hood asked for Trustee volunteers to work with her and the Chancellor to have conversations regarding collective bargaining issues. Trustees who are interested should contact the Chancellor.

CHANCELLOR’S REPORT
Chancellor Page thanked the Trustee, Presidents and staff who attended the meetings and his annual speech to the Legislature on March 7th at the State House. It was a very productive and beneficial day. Anyone who would like an update on Legislative actions, please feel free to talk to the Chancellor or Mr. Ryan Low, Executive Director of Governmental & External Affairs. He stated that he has been engaged in conversations with the Appropriations Committee and the Joint Select Committee regarding flat funding for the University of Maine System.

Legislative Actions
The Joint Select Committee of Work Force Development has a series of complex bills under consideration. Some of their actions effect initiatives of the UMS. One action includes encoding in legislation the main deliverables and timeline for the Credit Transfer Project including the work between the UMS and the Maine Community College System. Another action is an appropriation in the current draft budget for $2.3 million which would form the core of a match which the UMS would raise from internal resources or the philanthropic and business community to make scholarships available with an emphasis on adult and non-traditional populations. The Board and the Legislature have raised issues about common goals and boundary issues and as a result Trustee Hood will be appointing a small group of Board members to join the Chancellor to meet with Legislative Leadership.

Union Leadership Meetings
The Chancellor and his staff are working with the leadership of the six bargaining units to develop long term joint interests, strategic issues and communication structures between the various bargaining units and the System. The first meeting was a few weeks ago and it was very successful. One of the first items the group asked for was clarify around Board of Trustees directions and communication strategies. Chair Hood referenced this in her remarks and asked for volunteers from the Trustees to participate in this process.

Strategic Investment Fund
As part of the UMS strategic change initiatives, the Strategic Investment Fund was suspended for one year. The Chancellor indicated that Trustee Turner will lead a small group of Trustees and Presidents
to look at the strategic intent of the original program and see if that intent can be best realized going forward.

**Board Meeting Structure**

Trustee Collins reported that he is leading a group to review the Board meeting structure. Trustees Fournier and Erwin along with President Wilson, Chancellor Page and Clerk of the Board Kelley Wiltbank have met to discuss the Board meeting structure in order to allow more time to discuss strategic issues and discussions. Some of the suggested changes include:

- All Committee meetings would be held at times other than the Board meetings (off cycle)
- Total of 6 meetings per year: three – 2 day and three – 1 day meetings
- Three meetings located on a campuses
- A Board Retreat be scheduled as one of the six meetings per year

The Trustees were encouraged to email Trustee Collins, Fournier or Erwin with any other suggestions.

**COMMITTEE REPORTS/ACTION**

**Academic Affairs Committee** - Trustee Hood reported that the Committee met on March 18, 2013 and received updates on the following projects: Credit Transfer Steering Committee, Adult Baccalaureate Completion/Distance Education (ABCDE) Committee, Project > Login and the Spring 2013 Enrollment Report.

**Finance/Facilities Committee** - Trustee Norman Fournier reported that the Committee met on March 7, 2013 and reviewed the Series 2013 Revenue Bond Refunding and was provided an update on the Integrated Workplace Management System Project. The Committee approved the Jewett Hall Roof Replacement at UMA and forwarded to the Consent Agenda for the March 18, 2013 Board meeting the Procurement Administrative Review Team Final Report and the Fieldhouse/Memorial Gym Complex Increase at UM.

The Committee also met on March 18, 2013 and received a Financial Update and reviewed the Capital Projects Status Report. The Committee forwarded the following items to the Consent Agenda: Approval of Series 2013 Revenue Bond Refunding, Establishment of the Farm Credit Assistant Professorship of Production Economics and Agriculture Finance at UM, the Establishment of the Barbara Wheatland Assistant Professorship of Geospatial Innovation at UM and the approval of the Integrated Workplace Management System Project.

**Human Resources & Labor Relations** - Trustee Marjorie Medd reported that the Committee met on February 28, 2013 to meet with Dr. Stephen Reno to discuss the comprehensive evaluation for President Wilson Hess. The Committee also met on March 18, 2013 and agreed to forward to the Consent Agenda for action the Board Policy on Background Screenings.

**Investment Committee** - Trustee Eleanor Baker reported that the Committee met on February 27, 2013 for a discussion on Fossil Fuels and Social Responsibility and received performance updates on the Managed Investment Pool, Pension Fund, and the Operating Cash Fund. The Committee approved the asset allocation by re-adjusting equity allocation, increasing Global Asset Allocation through a reduction in Hedge Funds, and reduced duration exposure specifically in the Operating Fund. The Committee also approved the Endowment Distribution Rate for FY2014 of $12.64606 per share.
Technology Committee – Trustee Karl Turner reported that the Committee met on March 11, 2013 and reviewed projects with a value of $250,000 or greater, received an update on the Chief Information Officer’s report on early implementation activities of the Information Technology Administrative Review Team Recommendations, and received an Information Security Update.

CONSENT AGENDA
On a motion by Trustee Collins, which was seconded by Trustee Fournier, the following recommendations were unanimously approved by the Board of Trustees:

Board Policy on Background Screenings. The Board of Trustees approved the Employment Background Screening Policy.

Approval of Series 2013 Revenue Bond Refunding. The Board of Trustees approved (a) the issuance of revenue bonds to refund prior revenue bonds, (b) liquidation of outstanding debt service reserve funds where determined to be beneficial, and (c) financing any necessary capitalized interest, reserves, and costs of issuance.

Establishment of the Farm Credit Assistant Professorship of Production Economics and Agriculture Finance, UM. The Board of Trustees approved the establishment of the Farm Credit Assistant Professorship of Production Economics and Agricultural Finance.

Establishment of the Barbara Wheatland Assistant Professorship of Geospatial Innovation, UM. The Board of Trustees approved the establishment of the Barbara Wheatland Assistant Professorship of Geospatial Innovation.

Approval of the Integrated Workplace Management System Project. The Board of Trustees authorized the expenditure of as much as $2.22 million to acquire and implement an Integrated Workplace Management System to support facilities management System-wide from funding sources as identified by the Treasurer.

The Board of Trustees reviewed the following agenda items as forwarded by the Finance/Facilities Committee to the Consent Agenda from its meeting on March 7, 2013, and allowed it to remain on the Consent Agenda:

Procurement Administrative Review Team Final Report. The Board of Trustees approved the recommendations of the Administrative Review Team for Strategic Procurement as presented.

Memorial Gym & Fieldhouse Complex Increase, UM. The Board of Trustees authorized the University of Maine to update the scope of the Memorial Gym and Fieldhouse Complex Project and to expend an additional $1 million for a new maximum total of $15 million on its completion.

ACTION ITEMS
Tenure Nominations for 2013. The Board of Trustees reviewed the recommendations for tenure submitted by the universities of the University of Maine System in 2013.

The University of Maine
Charlsye S. Diaz, College of Liberal Arts and Sciences
Shawn W. Ell, College of Liberal Arts and Sciences
Nicholas A. Guidice, College of Liberal Arts and Sciences (Recommended for tenure one year early)
Reinhard Moratz, College of Liberal Arts and Sciences
Natasha M. Speer, College of Liberal Arts and Sciences
Aaron R. Weiskittel, College of Natural Sciences, Forestry, and Agriculture (Recommended for tenure one year early)

THE UNIVERSITY OF MAINE AT AUGUSTA
Thomas Giordano, College of Professional Studies (Recommended for tenure one year early)
Chelsea Ray, College of Arts and Sciences

THE UNIVERSITY OF MAINE AT FARMINGTON
Christopher Bennett, Division of Mathematics and Computer Science
Marisela Funes, Division of Humanities
Ann Kennedy, Division of Humanities
Anne Marie Wolf, Division of Social Science, Business, and Global Studies

THE UNIVERSITY OF MAINE AT FORT KENT
No tenure nominations for 2013.

THE UNIVERSITY OF MAINE AT MACHIAS
Meghan W. Duff, Division of Arts and Letters
Andrea Ednie, Division of Professional Studies

THE UNIVERSITY OF MAINE AT PRESQUE ISLE
William Breton, College of Education
Tomasz Herzog, College of Education
Jason Johnston, College of Arts and Sciences

THE UNIVERSITY OF SOUTHERN MAINE
Lucille Benedict, College of Science, Technology, and Health
Elizabeth Bischof, College of Arts, Humanities, and Social Sciences
Douglas Chene, School of Business, College of Management and Human Service
Mehrdaad Ghorashi, College of Science, Technology and Health
David Owen, University of Maine School of Law
Justin Tussing, College of Arts, Humanities and Social Sciences
Elizabeth J. Vella, College of Science, Technology and Health
Julie Ziffer, College of Science, Technology and Health

On a motion by Trustee Baker, which was seconded by Trustee Medd, the Board of Trustees approved the Tenure Nominations for 2013. Approval will take effect September 1, 2013.

Honorary Degree Nominations for 2014. The 2014 Nominations for Honorary Degrees were presented. On a motion by Trustee Hadyniak, which was seconded by Trustee Baker, the Board of Trustees approved the Honorary Degree Nominations for 2014.

Amendment to the Resolution for Exclusion of Certain Directors or Officers. The Board of Trustees approved the following amendment to the Resolution for Exclusion of Certain Officers or Directors:

Whereas, current Department of Defense policy requires that the principal officers (such as President, Senior Vice President, Secretary, Treasurer, and those occupying similar positions) meet the personnel security clearance requirements established for the level of the contractor’s facility security clearance; and
Whereas, said Department of Defense policy permit the exclusion from the personnel clearance requirements of certain members of the Board of Trustees and other officers, provided that this action is recorded in corporate minutes.

Whereas, Board of Trustee member Gregory G. Johnson was included in the Resolution of Exclusion of Certain Officers or Directors action taken on January 17, 2013.

Now Therefore Be it Declared that Gregory G. Johnson, having at the present time the required security clearance, is therefore not excluded.

On a motion by Trustee Fournier, which was seconded by Trustee Medd, the Board of Trustees approved the Amendment to the Resolution for Exclusion of Certain Directors or Officers.


On a motion by Trustee Medd, which was seconded by Trustee Newsom, the Board of Trustees approved the Board of Trustees meeting calendar for 2013-2014 and 2014-2015, as presented.

Confirm Student Representatives to the Board of Trustees. The nominations for Student Representatives to the Board of Trustees are forwarded through the Presidents to the Chancellor for submission to the Board for Trustee approval. The following nomination is being recommended by the President:

Charles Rodda, Graduate Student Representative, UM (1 year term)

On a motion by Trustee Medd, which was seconded by Trustee Hadyniak, the Board of Trustees approved the Student Representatives to the Board of Trustees.

Approval of Appointments to the UMaine Board of Agriculture. Chancellor Page presented for Board approval the Appointments to the UMaine Board of Agriculture. In 1998 the Maine Legislature formed the Board of Agriculture to advise the Chancellor and the University of Maine President on matters concerning agricultural research and extension. The legislation forming the board stipulates that members of the board serve five-year terms. They may be reappointed or replaced at the end of that five-year period.

Pursuant to the legislation, the Chancellor makes one appointment to the board. UMaine recommended and the Chancellor approved the reappointment of Representative Donald Marean as the Chancellor designee. Rep. Marean is active in the Standard Bred equine industry in the State and is very knowledgeable about the industry.

The legislation also provides that two research faculty members associated with agricultural research at UMaine serve on the Board, with the approval of the Board of Trustees. The two research faculty recommendations are:

- Dr. Vivian Wu, Associate Professor in the Department of Food Science and Human Nutrition, to serve as a faculty representative to the Board of Agriculture for a five-year term beginning 2013.
- Dr. James McConnon, Extension Business and Economics Specialist and Professor of Economics, to serve as a faculty representative on the Board of Agriculture for the remainder of Dr. George Criner's term. Dr. George Criner, Professor and Director of the School of
Economics, will not be available for the balance of his appointment which ends December 31, 2013.

On a motion by Trustee Hadyniak, which was seconded by Trustee Baker, the Board of Trustees approved the following two research faculty appointments to the UMaine Board of Agriculture: Dr. Vivian Wu for a five-year term beginning 2013 and Dr. James McConnon for the remainder of Dr. George Criner's term, which ends December 31, 2013.

INFORMATION ITEMS
The following items were included in the Agenda for information only:

- Credit Transfer Steering Committee Update
- Adult Baccalaureate Completion/Distance Education (ABCDE) Committee
- Project > Login Update
- Spring 2013 Enrollment Report
- Financial Update
- Capital Projects Status Report
- Student Representatives’ Agenda
- Report on Management Group Appointments
- Tenure Report
- Dashboard Indicators
- Agenda Calendar

DATE OF NEXT MEETING
The next meeting of the Board of Trustees will be held on May 19 & 20, 2013 at the University of Maine System.

Trustee Hood thanked the Presidents, Trustees and students who participated on March 7th for the meetings with the Legislature and the Chancellor’s speech. The reports from higher education were well received.

Adjournment

Ellen Doughty for
J. Kelley Wiltbank, Clerk