Present: Samuel Collins, Chair pro tem; Norman Fournier, Vice Chair pro tem; Kurt Adams, Stephen Bowen, James Erwin, Tyler Hadyniak, Gregory Johnson, Marjorie Medd, Paul Mitchell (by phone), Victoria Murphy, Bonnie Newsom, and Karl Turner. **Chancellor:** James Page. **Faculty Representatives:** Ray Albert, Chris Bates, Andrea Ednie, Valerie Hart, Kim-Anne Perkins and Robert Rice. **Student Representatives:** Colin Buttarazzi, Brianna Hughes, Mary-Elizabeth McAdams, Jeff Rhoads, Matthew Roy, Shawn Staples, and Melinda Torrens. **University Presidents:** Paul Ferguson, Kathryn Foster, Allyson Handley, Wilson Hess, Cynthia Huggins, Theodora Kalikow and Linda Schott. **System Staff:** Tracy Bigney, Tracy Elliott, Chip Gavin, Ryan Low, Peggy Markson, Rosa Redonnett, Mark Schmelz, David Stevens, Kelley Wiltbank, Miriam White, and Rebecca Wyke.


Trustee Collins, Chair pro tem, called the meeting to order and welcomed everyone.

**EXECUTIVE SESSION**
On a motion by Trustee Fournier, which was seconded by Trustee Medd, the Board of Trustees meeting went into Executive Session under the provisions of 1 MRSA Section 405 6-A for the evaluation of personnel and the consideration and discussion of assignments, duties and personnel actions related to staffing and under 1 MRSA 405 6-D to discuss with its negotiators the status of the UMS negotiations of its labor contracts with AFUM, UMPSA, COLT, Police, PATFA and Service and Maintenance Units.

On a motion by Trustee Turner, which was seconded by Trustee Hadyniak, the Board of Trustees concluded the Executive Session.

**CITIZEN COMMENT**
Citizen Comment is a time regularly set aside for comments from the public. The following individuals spoke during the Citizen Comment period:
- Ron Mosley – Optimism
- Jim McClymer – Credit Transfer Project and Board Retreat
- Dana Saucier – UMFK Board of Visitors
- Lisa Leduc – Frustration

**ACCEPTANCE OF MINUTES**
On a motion by Trustee Medd, which was seconded by Trustee Newsom, the minutes from the following meetings were unanimously approved as presented:
- September 24, 2012 – Board of Trustees Meeting and Committee meetings
- October 1, 2012 – Technology Committee

**CHAIR’S REPORT**
Trustee Collins thanked Dennis Jones from NCHEMS for the excellent presentations. Because of the economic situation of the State and the decision to freeze tuition freeze it is critical that the UMS focuses on enrollment management and the presentations today provided great information
to keep the UMS moving forward. He thanked the campuses for their efforts with enrollment management and 5 of 7 campuses have realized increased enrollments.

**CHANCELLOR’S REPORT**
Chancellor Page thanked President Schott for hosting the Board of Trustees meeting. He commented of the importance of the campus Boards of Visitors and stated the UMS needs to engage these groups more.

Mr. Ryan Low, Executive Director of Governmental and External Affairs, provided an update on the current status of the bond referendums. Question 2 on the November ballot has been led by a grass roots effort with a focus on new media strategies.

Chancellor Page commented that the Board Retreat was held on October 23 and was highly successful. Some of the Retreat outcomes are as follows:
- Continue the process of building a high-performing Board of Trustees and Presidents Council leadership team
- There was dissatisfaction with the Board meeting structure and would like more time for dialog to focus on goals and outcomes
- More focus on strategic alignment
- Interest in electronic Board books

**Credit Transfer Project**
Mr. Jonathan Nass, Senior Policy Advisor to the Governor, spoke about the Credit Transfer Process and stated the Governor is focused on the needs of the students. The priority of the Governor is for the students to have easy of transferability of credits.

Dr. Wilson Hess, President of UMKF and Chair of the Credit Transfer Project, stated that the design phase of the project is closing and the implementation phase is beginning. The focus will be to develop an effective intra-System transfer process.

**Performance Based Funding Model**
Chancellor Page stated the Board will be reviewing for approval the Performance Based Funding Model recommendations at the January Board meeting. This outcome based process will build a System that is responsive to the State’s needs. The NCHEMS presentations by Dennis Jones will be posted on the website (www.thinkmissionexcellence.maine.edu). Comments on the model are also available on the website.

**Administrative Reviews**
**Human Resources**
The Human Resources Review Team will be looking at the interoperability and integration of Human Resources and Equal Opportunity services at a functional cross-campus level to meet the changing needs of UMS now and in the future; increasing efficiency through reducing redundancy, leveraging expertise, and enhancing the use of technology; gaining administrative cost savings; identifying service areas to be maintained, enhanced, reduced or eliminated; offering high quality service to employees, administrators, managers, supervisors and retirees; and developing clear lines of responsibility, accountability and decision making authority. The timeline for the project will be implementation in January or February 2013 and a report by March 2013.
Information Technology
With this review Information Technology Leadership across the UMS is looking at ways to collaborate more and to share resources and services in order to reduce the cost of delivering information technology services to make more resources available for teaching and learning.

Strategic Procurement
The goal of the Administrative Review for Strategic Procurement is to improve procurement efficiency and services and to identify procurement-related cost savings so they may be invested in teaching, research and public service.

Administrative Functions
The Administrative Function Team has been tasked to begin the identification of all other administrative and academic functions not already being reviewed. Beginning with Facilities Management, the team will be visiting campuses to learn everything they can about Facilities Management.

ABCDE Steering Committee
The ABCDE Steering Committee will develop a comprehensive, System-wide plan to enhance baccalaureate degree attainment and completion by Maine’s adult and non-campus based citizens incorporating consideration of the multiple pathways that students may follow. The Committee’s charter is being forwarded to the Consent Agenda for approval.

COMMITTEE REPORTS/ACTION
Academic Affairs Committee - Trustee Johnson reported that the Committee met on October 10, 2012 with the Chief Academic Officers and the Credit Transfer Steering Committee and received an update and participated in a lengthy discussion on the Credit Transfer Project. The Group also received an update from Ms. Janet Waldron, Senior Vice President for Finance and Administration at UMaine, on the International Study Centers. The Committee also met on November 4, 2012 and agreed to forward to the Consent Agenda the Awarding of Academic Degrees for the 2012-2013 academic year, the Charter for the Adult Baccalaureate Completion/Distance Education (ABCDE) Committee, and the recommendations of the Credit Transfer Steering Committee related to intra-System transfer. The Committee received a presentation from Dennis Jones, President of NCHEMS, on Calculating the Economic Value of Increasing College Credentials. The Committee also received a briefing on the Fall 2012 Enrollment Report.

Audit & Finance/Facilities Joint Session - Trustee Fournier reported that the Committee was originally scheduled to meet on October 30, 2012 but the meeting was rescheduled to November 4, 2012. The Finance/Facilities Committee agreed to forward to the Consent Agenda the Annual Financial Report for FY2012 and received an update from Berry, Dunn, McNeil & Parker, LLC, the external auditors, on the results of the UMS financial statement audit and an overview of emerging accounting issues.

Finance/Facilities Committee - Trustee Fournier reported that the Committee was originally scheduled to meet on October 30, 2012 but the meeting was rescheduled to November 4, 2012. The Committee reviewed the following informational items: Financial Update, Capital Projects
Status Report, Integrated Workplace Management System Business Case, Administrative Review Update and the Performance Based Funding Presentation. The Committee approved the Water Tank Refurbishment for USM and agreed to forward to the Consent Agenda the following items: the Gorham Central Heat Plant Replacement at USM, the Exchange of Property at Old Town at UM, the Service Building Naming at UMM, the Civic Center Lease Renewal at UMA, a Multi-Campus Power Purchase Authorization, and the Advanced Structures and Composites Center Expansion at UM.

Human Resources & Labor Relations - Trustee Marjorie Medd reported that the Committee met on November 4, 2012 and discussed the Collective Bargaining Goals and Relationships during the Executive Session and reviewed the Human Resources Administrative Review Progress Report.

Investment Committee - Trustee Turner reported that the Committee met on October 17, 2012 and interviewed Short Term Fixed Income Managers – Chicago Equity Partners and Income Research and Management. The Committee agreed to select Income Research and Management to replace PIMCO Low Duration in the Operating Fund. The Committee reviewed and agreed to amend the Investment Guidelines and New England Pension Consultants provided a market update.

Technology Committee – Trustee Turner reported that the Committee met on October 1, 2012 and reviewed the status of current Information Technology projects. The Sunfire project is now complete. The following projects are on schedule: the USDA Rural Utilities Service Grant, the Regional Optical Network Expansion Project, the MaineStreet Solutions Data Warehouse Project, and the Human Resources 9.1 Upgrade. The projects that are behind schedule or over budget are: the Payment Card Industry (PCI) Standards Compliance, the Advance Project, the Portal Project, and the Facilities Software Project. The restructuring of the UMS Information Technology Department and the Administrative Review of Information Technology were discussed. The Committee received the first briefing from the newly created Project Management Office.

CONSENT AGENDA
On a motion by Trustee Fournier, which was seconded by Trustee Hadyniak, the following recommendations were unanimously approved by the Board of Trustees:


Gorham Central Heat Plant Replacement, USM. The Board of Trustees authorized the University of Southern Maine to expend $27 million to replace the Gorham Central Heat Plant equipment, install a stand alone steam generator in Brooks Dining Hall, and replace other Central Heat Plant related heating equipment as necessary.

Exchange of Property at Old Town, UM. The Board of Trustees authorized the University of Maine to transfer the property identified on the Old Town tax map as Map 3 Lot 1A to SSR, LLC in exchange for the property identified on the Old Town tax maps as Map 7 Lot 30, subject to the review and approval of final terms and conditions by the Vice Chancellor for Finance and Administration and University Counsel. Trustee Newsom abstained from voting on this Agenda item.
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Service Building Naming, UMM. The Board of Trustees authorized the naming of the Support Building at the University of Maine at Machias as the “Crandlemire Support Building”.

Civic Center Lease Renewal, UMA. The Board of Trustees approved the request of the University of Maine at Augusta to lease approximately 16,800 square feet at the Augusta Civic Center for a term of 5 years with the option to renew for a single 5 year period subject to review and approval of final terms and conditions by the Vice Chancellor for Finance and Administration and University Counsel.

Multi-Campus Power Purchase Authorization. The Board of Trustees authorized the thermal energy initiative to proceed in principle contingent on the return to the Board of any specific project that would warrant further specific consideration by the Board per Board of Trustees Policy 701 or 801.

Advanced Structure and Composite Center Expansion, UM. The Board of Trustees authorized the University of Maine to expend as much as $6.4 million, including $3.5 million in University funds, to expand the Advanced Structures and Composites Center in Orono for a wind and wave testing facility.

Awarding of Academic Degrees. The Board of Trustees authorized the awarding of degrees during Commencement ceremonies for the 2012-13 academic year to those students fully recommended by the appropriate faculties and the presidents of the respective institutions and/or divisions of the University of Maine System.

Adult Baccalaureate Completion/Distance Education (ABCDE) Committee. The Board of Trustees approved the charter of the Adult Baccalaureate Completion/Distance Education (ABCDE) Committee.

Credit Transfer Steering Committee: Intra-System Recommendations. The Board of Trustees accepted the recommendations of the Credit Transfer Steering Committee related to intra-System transfer and that an implementation plan be presented to the Board of Trustees at its January meeting.

**ACTION ITEMS**

Honorary Degree Nominations for 2013. On a motion by Trustee Johnson, which was seconded by Trustee Murphy, the Board of Trustees approved the 2013 nominations for Honorary Degrees as presented.

Investment Policy Statement – Managed Investment Pool and Pension Plan. On a motion by Trustee Fournier, which was seconded by Trustee Johnson, the Board of Trustees ratified and approved the Managed Investment Pool and Pension Plan Investment Policy Guidelines and Objectives as discussed at the October 17, 2012 Investment Committee meeting.

**INFORMATION ITEMS**
The following items were included in the Agenda for information only:

Calculating the Economic Value of Increasing College Credentials: Update on
NCHEMS/CLAP Project
Fall 2012 Enrollment Report
Financial Update
Performance Based Funding Presentation
Collective Bargaining Goals and Relationships
Human Resources Administrative Review Progress Report
Student Representatives Agenda
Capital Projects Status Report
2012 Board of Visitors Annual Report
Report on Management Group Appointments
Dashboard Indicators
Agenda Calendar

DATE OF NEXT MEETING
The next meeting of the Board of Trustees will be held on January 28, 2013 at the University of Maine System Offices.

Adjournment

Ellen Doughty for
J. Kelley Wiltbank, Clerk